SIDDHARTH UNIVERSITY

Kapilvastu, Siddharth Nagar-272202 (U.P.) India

Ph.D. Ordinance

(2024)

सिद्धार्थ विश्वविद्यालय कपिलवस्तु, सिद्धार्थनगर विद्या परिषद् की बैठक दिनांक–16.05.2024 के बिन्दु संख्या–01 द्वारा संस्तुत एवं कार्य परिषद् की बैठक दिनांक–16.05.2024 के बिन्दु संख्या–01 द्वारा स्वीकृत तथा माननीय कुलाधिपति जी के पत्रांक संख्याः ई–3680/27–जी0एस0/2024 **(Ph.D),** दिनांक 24.05.2024 द्वारा अनुमोदित डॉक्टर ऑफ फिलासफी (पी–एच0डी0) अध्यादेश, 2024

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Siddharth University, Kapilvastu, Siddharth Nagar
Ph.D. Ordinance 2024

In exercise of the powers conferred by Section 66(A) of the Uttar Pradesh State Universities Act, 1973, Uttar Pradesh State Government letter no. ई–7878 / 32–जी0एस0 / 2023-VIII dated 27th January 2023 has hereby directed the state universities to implement, the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022 of 7th November 2022 which was published in Gazette of India weekly No. 544 on 7th November 2022 and became effective on that date. In reference with UGC (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations 2022, the following Ph.D. Ordinance 2024 of Siddharth University, Kapilvastu has been approved by Academic Council & Executive Council of Siddharth University Kapilvastu, Siddharth Nagar, on dated 16 May, 2024.

1.			**Short title, Application and Commencement**
	1.1		The ordinance shall be known as Ph.D. Ordinance 2024 of the Siddharth University Kapilvastu, Siddharth Nagar.
	1.2		This shall apply to Siddharth University Kapilvastu, Siddharth Nagar and its affiliated/constituent, government/government aided colleges eligible for research.
	1.3		This shall come into the force from the date of its approval by Hon'ble Chancellor on the recommendation of the Executive Council of the University.
	1.4		This ordinance will be applicable for the students who seek admission and are registered from the session 2023-24 and onwards.
2.			**Definitions -** In this Ordinance, unless the context otherwise requires, -
	2.1		"Cumulative Grade Point Average (CGPA)" means a measure of the overall cumulative performance of a student over all semesters. The CGPA is the ratio of total credit points secured by a student in various courses in all semesters and the sum of the total credits of all courses in all semesters. It is expressed up to two decimal places;
	2.2		"Credit" means the number of hours of instruction required per week over the duration of a semester.
	2.3		"Constituent/ Affiliated Colleges" means all the government/government aided post graduate colleges affiliated with Siddharth University, Kapilvastu which are eligible for research
	2.4		"Course" means one of the specified units which go to comprise a programme of study;
	2.5		"Course Work" means courses of study prescribed by the School/Department/ Centre to be undertaken by a student registered for the Ph.D. Degree;
	2.6		"Examiner" means an academician/researcher with high academic

			credibility within or outside the Siddharth University, who has been appointed for the evaluation of the thesis of a student registered in the Ph.D. programme of the University.
	2.7		"External examiner" means an academician/researcher with published research work who is not part of the Siddharth University.
	2.8		"Foreign Educational Institution" means – (i) an institution duly established or incorporated in its home and offering educational programmes at the undergraduate, postgraduate and higher levels in its home country and (ii) which offers programme(s) of study leading to the award of a degree through conventional face-to-face mode, but excluding distance, online, ODL mode;
	2.9		"Grade Point" means a numerical weight allotted to each letter grade on a 10-point scale;
	2.10		"Guide/Research Supervisor" means an academician/researcher recognized by Siddharth University, Kapilvastu to supervise the Ph.D. student for his/her research;
	2.11		"Interdisciplinary Research" means research conducted by a Ph.D. student in two or more academic disciplines;
	2.12		"Plagiarism" means the practice of taking someone else's work or idea and passing them as one's own;
	2.13		"Research Proposal" means a brief write-up giving an outline of the proposed research work by the Ph.D. student.
	2.14		"University" means Siddharth University, Kapilvastu established as a State University under the State University Act 1973, hereafter also referred as SUK.
3.			**Eligibility Criteria for Admission to the Ph.D. Programme** The following are eligible to seek admission to the Ph.D. programme:
	3.1		Candidates who have completed a 1-year/2-semester master's degree programme after a 4-year/8-semester bachelor's degree programme (as per NEP 2020) or a 2-year/4-semester master's degree programme after a 3-year bachelor's degree programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed Or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution. Or Qualified CA/ICWA/CS for degree in Commerce and Management stream. A relaxation of 5% marks or its equivalent grade may be allowed for

			those belonging to SC/ST/OBC (non-creamy layer)/Differently abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time. Provided that a candidate seeking admission after a 4-year/8-semester bachelor's degree programme should have a minimum of 75% marks in aggregate or its equivalent grade on a point scale wherever the grading system is followed. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
	3.2		Candidates who have completed the M.Phil. programme with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions, shall be eligible for admission to the Ph.D. programme. A relaxation of 5% marks or its equivalent grade may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/Differently Abled, Economically Weaker Section (EWS) and other categories of candidates as per the decision of the Commission from time to time.
4.			**Duration of the Programme**
	4.1		Ph.D. programme shall be for a minimum duration of three (3) years, including course work period of six months. Remaining Two year and six months period will be reckoned from the date of first fee deposited after the registration of the student as research scholar after the successful completion of the course work. However maximum duration of research shall not exceed six years.
	4.2		After completion of six years the Vice-Chancellor may grant a maximum of an additional two (2) years which can be given through a process of re-registration to the candidates with severe medical condition/defense personals serving in the line of duty or the cases as deemed fit by the RDCU on the recommendation of DRC; provided, however, that the total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.
	4.3		Ph.D. students and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years;

			however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme. Also, female Ph.D. students may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme. Which shall be exempted from the total duration.
5.			**Procedure for Admission**
	5.1		The admission shall be based on the criteria notified by the University, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory/regulatory bodies concerned, and taking into account the reservation policy of the State Government of Uttar Pradesh from time to time.
	5.2		Number of seats available subject wise, eligibility criteria and process of admission and other relevant information concerning admission in the interest of the student will be uploaded on the website of SUK and all the above information will be published in at least two national newspapers well in advance in which one can be in the regional language, preferably in the month of December. The number of uploaded/ published research vacancies will be provisional which may increase or decrease.
	5.3		Ph.D. administrative section (academics) shall collect the Ph.D. vacant seats data from the departments of the SUK and its constituent/affiliated colleges of the University, preferably by the month of September every year.
	5.4		The admissions in the Ph.D. programme shall be through a Research Eligibility Test (RET). The RET, shall be conducted by a committee consisting of a Coordinator, (a person in the SUK, not less than a rank of Professor, nominated by the Vice Chancellor), along with at least four members, (who may be the Professor/Associate professor/ Assistant professor in the SUK, nominated by Vice Chancellor)
	5.5		The research eligibility test (RET) will be conducted in two stages (written and interview), which will be of 200 marks. Written test will be of 140 marks (70 % of total marks) and interview will be of 60 marks (30 % of total marks). Candidates who get 50% marks in written test will only be eligible for interview but SC/ST/OBC (Non-Creamy Layer)/Disabled (40% or more disabled) candidates will be given 5 percent relaxation.
	5.6		J.R.F./NET qualified from UGC/CSIR/ICAR/DST-INSPIRE/ICMR or with valid GATE score or any other research fellowship will be exempted from written test. They will be selected only on the basis of interview.

	5.7		Foreign student/NRI will also be exempted from RET. International/ Foreign/ NRI sponsored candidates applying through Indian Council of Cultural Relations (ICCR) or sponsored under NRI scheme or international higher education institutions having MoU with the University or applying through their respective embassy shall have to apply at the international center of the University. **However, the applicants from Nepal, seeking direct admission, shall have to appear in the written test as well as interview both.**
	5.8		Foreign nationals must provide evidence of language competence suited to the Department they wish to join. They may be admitted based on their research proposal, subject to fulfilling the minimum eligibility criteria. However, at any given point of time the total number of foreign students shall not exceed 10% of the total admissible strength of the Department.
	5.9		Regular teachers of foreign University recognized by University Grants Commission recommended through their embassy/ High Commission and defense personnel of Indian Armed Forces will also be exempted from RET.
	5.10		The written test will be of 2:00 hour's duration with 70 multiple choice questions.In this, 35 questions will be related to research methodology and remaining 35 questions will be related to the concerned discipline. There will be no negative marking in the written test.
	5.11		The syllabus for RET of every subject/discipline will be of UGC/CSIR/ICAR-NET standard syllabus of the concerned subject/discipline.
	5.12		The entrance examination will be conducted in the already notified centers. Information about change in these centres and dates will be provided to the candidates before time. Preferably the process of RET shall be completed before month of April every year.
	5.13		Students who have secured 50% marks in the written examination of research entrance test are only eligible to be called for the interview.
	5.14		A relaxation of 5% marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/differently abled category, Economically Weaker Section (EWS), and other categories of candidates as per the decision of the Commission from time to time.
	5.15		After written examination an interview of qualified candidates will be conducted by the Department Research Committee (DRC)/ Centre Research Committee (CRC) in the University for each subject. Candidates not appearing in the interview will not be considered for admission. At the time of interview, the candidates are expected to have

			proper discussion with the committee members on their research interest/field. DRC will send the details of the marks earned by candidates to the Coordinator, Research Eligibility Test after evaluating the candidates involved in the interview for 60 marks. At the time of interview, the candidate has to give a brief presentation on the proposed area of the research. The DRC/CRC shall consider the following: a) The candidate possesses the competence for the proposed research. b) The research work can suitably be undertaken at the University/College. c) The proposed area of research can contribute to new/additional knowledge.
	5.16		Merit list of selected candidates will be published/notified on the basis of aggregate percentage obtained in the written test/interview or both in the concerned discipline.
	5.17		Qualifying the research eligibility test does not imply that the candidate shall be guaranteed admission to the research program. The University reserves the right to suspend the admission to the research program due to the unavailability of a supervisor or other reasons.
	5.18		The selected candidate will get the provisional registration to the Ph.D. programme and pay the admission fee including Pre-Ph.D. course work fee in the University.
	5.19		Part time candidates who are employee of any organization have to produce a no objection certification (NOC) from the employer stating that they will relieved during the course work.
	5.20		The regular teachers of the Siddharth University or its affiliated/constituent colleges (aided and self-financed) will also be required to take leave for at least a period of six months and will have to remain present for the entire period of course work. For the remaining period of the programme their attendance either and their respective parent institution or at the respective department of the University will be accepted. They will be allowed to receive salary payment from their parent institution.
	5.21		The date of payment of fees shall be considered as the date of admission and provisional registration. Provisionally registered students will pursue the Pre-Ph.D. course work in the University and are required to successfully qualify the same with minimum 55% marks or 5.5 CGPA.

	5.22		After successful completion of the Pre-Ph.D. course work, the provisionally registered students will deposit the fee then submit four hard copies as well as soft copy of their research proposal, explaining the research ability and details of the proposed topic for registration through their research supervisor to the member secretary of the concerned DRC/CRC within the University. The research proposal submitted by students for registration should be approved by DRC/CRC. Date of submission of the requisite fee shall be considered as the date of registration in the Ph.D. program.
	5.23		List of Ph.D. supervisors (specifying the name of the supervisor, his or her designation, and the department/school/centre), along with the details of Ph.D. students (specifying the name of the registered Ph.D. student, the topic/ area of their research and the date of admission) admitted under them on the website of the institution and update this list every academic year.
6.			**Fee Structure**
			fee structure for Pre-Ph.D. course work and Ph.D. programme for the regular, part time and foreign students/University /Affiliated colleges' teachers shall be notified on the University website at the time of advertisement of admission every year.
7.			**Allocation of Research Supervisor: Eligibility Criteria for Research Supervisor, Co-Supervisor, Number of Permissible Ph.D. Students per Supervisor, etc.**
	7.1		Permanent/regular faculty members working as Professor/Associate Professor of the Siddharth University, Kapilvastu and its affiliated/ constituent colleges, with a Ph.D., and at least five research publications in peer-reviewed or refereed journals and permanent/regular faculty members working as Assistant Professors in the University or its affiliated/ constituent colleges with a Ph.D., period and at least three research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor in the University.
	7.2		For Ph.D. students working in Central government/ State government research institutions whose degrees are given by any University/ higher Educational Institution, the scientists in such research institutions who are equivalent to Professor/Associate Professor/Assistant Professor can be recognized as supervisors/ co-supervisors if they fulfill the above requirements as well as if the University has a memorandum of understanding with such institutions. However, in such cases one of the supervisor/co-supervisor has to be from the Siddharth University, Kapilvastu. Provided that in areas/disciplines where there is no, or only

			a limited number of peer-reviewed or refereed journals, the University may relax the above condition of publication requirement for recognition of a person as Research Supervisor with reasons recorded in writing.
	7.3		Co-Supervisors from within the same department or other departments of the University/ affiliated or aided Colleges may be permitted with the approval of the competent authority on the recommendation of concerned DRC/CRC on the request of the research student and consent of the supervisor as well as the proposed co-supervisor. Adjunct/contractual faculty members shall not act as Research Supervisors and can only act as co-supervisors if approved by the DRC/CRC.
	7.4		Any regular teacher of the University/affiliated or aided Colleges who is to be recognized as research supervisor for the first time shall submit an application along with relevant documents (through the Principal in case of teachers of colleges) to the respective Chairperson DRC/CRC. The Chairperson shall place the matter before DRC which shall recommend the teacher as research Supervisor to the Dean of faculty. The Dean of faculty shall approve the respective teacher to be a research supervisor. However, teachers already supervising Ph.D. will be deemed to be possessing necessary eligibility.
	7.5		In case of interdisciplinary/ multidisciplinary research work, if required, a co-Supervisor from outside the Department/ School/Centre/ College/ University may be appointed.
	7.6		An eligible Professor/Associate Professor/Assistant Professor can guide up to eight (8)/six (6)/four (4) Ph.D. students, respectively, at any given time other than the super numeric seats. The quota shall remain the same including as a co-supervisor.
	7.7		Faculty members with less than three years of service before superannuation shall not be allowed to take new research students under their supervision. However, such faculty members can continue to supervise Ph.D. students who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
8.			**Allocation of Students to Research Supervisor**
	8.1		Upon finalization of the consolidated merit list but before pre-registration, the Head of the Department/ Dean shall convene a meeting of the concerned DRC/CRC to allocate the candidate to the research supervisors based on the merit list, specialization stream of the candidate and availability of the research supervisor.
	8.2		The allocation of a supervisor for Ph.D. students shall be decided by the DRC concerned in a formal manner; depending on the number of students per faculty member, the available specialization among the faculty supervisors and the research interest of the student.
	8.3		After the allotment of the candidate to the Supervisor, the candidate shall finalize the research topic/title and prepare a research proposal in

			consultation with the Research Supervisor and will submit to the Department after completion of the Pre-Ph.D. course work for registration. The same is to be presented to the concerned DRC/CRC for approval
9.			**Change of Research Supervisor**
	9.1		Generally, the change of Research Supervisor shall not be permitted.
	9.2		Under extraordinary circumstances such as death or disability on health ground of the supervisor or transfer of the supervisor, the change of Research Supervisor shall be permitted by the University at the request of the candidate and on the recommendation of the DRC/CRC.
	9.3		A faculty member appointed as a Ph.D. supervisor is normally expected to be available to a Ph.D. Student in the University till the conduct of his/her final thesis defense. However, under unavoidable circumstances, such as, long leave of more than twelve (12) months, lien, deputation, resignation, retirement, or death, a supervisor may not be accessible to the student. In such special cases, appointment of supervisor(s) will be regulated as under:
			Case 1:
			In case the non-accessibility of the supervisor is due to his/her joining some other institution with suitable research facilities and if his/her Ph.D. student wishes to pursue research at that institution under the same Supervisor provided the student's research plan has been approved and if the Supervisor duly recommends the request of the student, the same may be allowed subject to the following conditions:
		9.3.1	Ph.D. Student will be required to submit an application to the Head of the Department for applying for this facility, duly recommended by the Supervisor along with NOC including confirmation of requisite research facility and its accessibility to the student for the duration of his/her stay there by the Competent Authority of that institution;
		9.3.2	The recommendations of the DRC on this matter shall be placed before the Vice Chancellor for approval;
		9.3.3	The registration of the Ph.D. student will continue at SUK and the student will be required to adhered to all applicable rules & regulations at SUK as may be notified from time to time;
		9.3.4	The Ph.D. student shall be allowed a maximum time of 2 years for pursuing research at the other Institution;
		9.3.5	Any financial liability arising out of availing of this facility by the Ph.D. student shall be borne by the student and not by SUK;
		9.3.6	The Ph.D. student shall not be eligible for continuation of University assistantship, for the duration that he/she is at the other institution.
		9.3.7	Monthly attendance report, duly certified by the Ph.D. Supervisor & the competent authority of the institution where the supervisor is working is required to be sent by the supervisor to the head of department at SUK.

		9.3.8	The supervisor will ensure that the student meets all requirements for continuation of registration and the DRC of the student, as required under regulations, shall be held at the department of registration at SUK.
		9.3.9	The student is required to pay all the fees as applicable during this period at SUK.
		9.3.10	The Ph.D. student will be required to give an undertaking that he/she will continue to abide by all regulations & rules of SUK and will not do any such action that may adversely affect the dignity of the University
			Case 2 :
		9.3.11	In case the non-accessibility of the supervisor is due to his / her joining some other institution and if he/she consents to continue to Supervise the student and if the student also requests for continuation of the Supervisor despite his/her non-accessibility, provided the Ph.D. student's research plan has been submitted, then the DRC may recommend considering the request of the student. However, in such case, if a co-supervisor (from within the University) is not already appointed for this Ph.D. student, then the same may be appointed as per standard procedure of Ph.D. regulations, with relaxation in time-limit. However, the above is applicable only if the Ph.D. supervisor joins another University/ Institution.
		9.3.12	If at any point of time (including non-accessibility), the supervisor expresses his inability to continue as supervisor, the DRC can recommend appointment of co-supervisor as supervisor. In case, if no co-supervisor is there then DRC may recommend a fresh supervisor.
		9.3.13	In case of superannuation or death of the supervisor, a new co-supervisor/supervisor/ may be appointed on the recommendations of the DRC.
		9.3.14	However, under circumstances other than above, as may be recommended by DRC, the change of supervisor(s), Joint-Supervisors for Ph.D. students shall be allowed only during the completion of course work or before finalization of Research Plan of a Ph.D. student.
		9.3.15	In case of relocation of a female Ph.D. student due to marriage or otherwise, the research data shall be allowed to be transferred to the other institution/university to which the student intends to relocate, provided all the other conditions in these regulations are followed in letter and spirit, and the research work does not pertain to a project sanctioned to the parent institution/supervisor by any funding agency. Such student shall, however, give due credit to the parent guide and the University and the supervisor for the part of research already done.
	9.4		In case of the candidate being assigned a new Ph.D. Supervisor by the competent authority on the recommendation of the DRC/CRC, he/she shall continue his/her research with the new supervisor and work for minimum of one year period prior to the submission of his/her thesis. No candidate shall be allowed to change the supervisor more than once.

	9.5		The Vice-Chancellor shall have the authority to change/appoint the supervisor/co-supervisor, in cases of extra-ordinary nature.
10.			**Change of Research status from full time to part-time or vice versa**
	10.1		The student must have successfully completed the pre-Ph.D. course work prescribed within the duration and his proposal is approved, can be permitted for change of Ph.D. status from full time to part-time.
	10.2		For change of status from full time to part time, the student must have obtained a regular/full time employment.
	10.3		The maximum duration of Ph.D. program will remain same for such candidates.
	10.4		The student desirous of changing the status from full time to part time or vice versa shall be allowed to do so only once in the entire period of Ph.D., within the first two years of the Ph.D. from the date of their registration. The student shall give an application to the Chairperson DRC/CRC within the University along with relevant evidence/ reason for the change of status. The DRC/CRC shall submit its recommendation to the concerned Dean of Faculty for consideration.
	10.5		The students will have to pay the fee and have to present the progress of work after every six months to DRC/CRC. Further, the student shall have to submit the half yearly progress report in a format as prescribed by the University. In case if the candidate fails to submit the two consecutive half yearly progress report, his/her admission will be cancelled.
11.			**Admission of International/ foreign/NRI sponsored students in Ph.D. Programme.-**
			Each supervisor can guide up to two international/foreign/NRI sponsored research students on a supernumerary basis over and above the permitted number of Ph.D. students as specified in clause 7.6 above. The international/foreign/NRI sponsored research students can apply online/offline throughout the year. Their application shall be processed and admission process shall be conducted as per clauses 5.7, 5.8, and 5.9. The interview of such candidates can be conducted through offline/online mode.
12.			**Course Work - Credit requirements, number, duration, syllabus, minimum standards for completion, etc.**
	12.1		All admitted candidates shall undergo a course work for a minimum period of one semester of six months as prescribed by the University (concerned department/subject/research centre determined by University). This course work shall be treated as Pre-Ph.D. preparation

			and include courses on research methodology, reviewing of published research in the relevant field, research publication and ethics, computer application and specific courses in respective discipline. The candidate has to successfully qualify the course work by earning minimum 12 credits. The format of course work will be –
		12.1.1	There will be at least four papers in this course work. Paper- I: Research and Publication Ethics will be of 2 credits; Paper-II: Research Methodology of 4 credits, which will include qualitative and quantitative analysis of concerned subject, research methodology, field study, collection and analysis of data, review of literature and work of report writing and basic computer knowledge. Paper- I and Paper- II shall be compulsory. The Paper- III and Paper- IV shall be offered in the respective discipline by the concerned department. The paper shall be designed for three credits each.
		12.1.2	The candidate has to fulfill the requirement for minimum 12 credits for the successful completion of the course work.
		12.1.3	The elective courses offered for the Ph.D. course work by the respective departments may be based on lecture, laboratory, design and self-study courses, mini projects and seminars. Each of these courses shall be of post-graduate or doctoral level and decided by the DRC/CRC
	12.2		All courses prescribed for Ph.D. course-work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods in advance. The courses for the Pre-Ph.D. should be approved through the concerned board of studies of the department and academic council of the University.
	12.3		Candidates already holding M.Phil. degree and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the department from the Ph.D. course work provided the course work completed by them includes successfully qualified in compulsory course of research methodology and has earned total 12 credits. Similarly, in matter of Pre-Ph.D. candidate following legal opinion has been included- "If the candidate has completed his/her Pre-Ph.D. Course work recognized by any Institution/University and there after transferred to any other such University shall not be required to complete his/her Pre-Ph.D. course work again provided both Institution/University, where the candidate was already enrolled and where the candidates transferred are recognized by the University Grants Commission (UGC)." The clear recommendation of the concerned DRC shall be required

			along with establishing the equivalence of the course work completed at other institution and the University.
	12.4		It is compulsory to complete course work by every applicant within the stipulated time, in which his/her attendance will not be less than 75% in any circumstances.
	12.5		The candidate has to secure minimum passing marks i.e. 55% or equivalent CGPA. On the successful completion of the course work the Director, R&D of the University shall issue a certificate that the candidate has successfully completed the course work. (In the format as specified by the University)
	12.6		In addition to the courses the candidate shall have to submit a mini project on a topic assigned by the concerned supervisor/chairperson of DRC. The supervisor/chairperson DRC shall evaluate the project and submit the marks of the candidate to the examination section through the concerned DRC.
	12.7		All candidates admitted to the Ph.D. programmes shall be required to complete the course work prescribed by the concerned DRC within a maximum of 03 semesters or maximum two attempts from the date of joining (excluding semester withdrawal). In case the student is not able to complete the course work his registration shall be discontinued.
	12.8		The provision for printing, moderation and evaluation related to the examination shall be provided by the University.
	12.9		**Research Plan Proposal**
			On the completion of the course work, and within three months of the declaration of the result of the course work examination, the candidate shall prepare a research proposal on the area of research/title in consultation with the supervisor and co-supervisor(s) and present it before the DRC. The date of the presentation of the research proposal shall be declared by the Chairperson, DRC. After having passed the Pre-Ph.D. course work examination and the successful presentation of research proposal, the title/area of research shall be finalized and approved by the concerned DRC. Thereafter the Chairperson DRC shall send the recommendation of DRC for the Registration of the Ph.D. student along with the details of topic/area, name of supervisor and co-supervisor (s) and department to the Ph.D. section (academics) who shall issue a notification in this regard.

13.			**Research Administration Committees in the University and their Functions. -**
	13.1	**13.1.1**	*The following Committees shall deal with all the matters connected with the administration of Ph.D. programme of the University:* • *The Research Degree Committee of University (RDCU)* • *The Department/Centre Research Committee (DRC/CRC)* • *The Student Research Committee (SRC)*
		13.1.2	***The Research Degree Committee of University (RDCU)*** • *Vice-Chancellor or his/her nominee (not below the rank of Professor)* - *Chairperson* • *Deans of the faculty* - *Member* • *Heads of the Departments/ Chairperson of the concerned DRC (s)* - *Member* • *Registrar/Faculty In-charge Ph. D. section (academics)* - *Secretary* ***The quorum of the committee shall be the presence of fifty percent of the members including Chairperson and Secretary***
		13.1.3	***The Department/Centre Research Committee (DRC/CRC)*** • *Heads of the Departments /Coordinator of Centre* - *Chairperson* • *All the Professors of the concerned Department in the University* - *Member* • *One Professor from the aided colleges, by seniority and rotation every two years* - *Member* • *One Associate Professor of the University department, by seniority and rotation every two years* - *Member* • *Two Assistant Professors of the University department, by seniority and rotation every two years* - *Invited* • *Supervisor/Co-Supervisor (if any) of the concerned Ph. D. student* - *Member* *For the departments where there are less than three teachers or in case the centre/department does not conduct any program at PG-level, the Dean of the concerned Faculty in the University shall be the chairperson of DRC. He shall nominate four senior teachers (Preferably Professors and Associate Professors) of Departments having affinity to research areas of the*

			centre/department. *The DRC/CRC shall appoint one of its members as Member Secretary. The invited members shall not have the right to vote in the meeting. The quorum of the committee shall be the presence of fifty percent of the members including chairperson and member secretary. No TA/DA and sitting fee shall be admissible.*
		13.1.4	***The Student Research Committee (SRC)*** • *Supervisor of the concerned Ph. D. student* – *Chairperson* • *Co-Supervisor (if any) of the concerned Ph. D. student* – *Member* • *One expert in the field from the Department* – *Member* • *One expert from an allied Department* – *Member* *Experts mentioned above shall be preferably nominated by the supervisor of the candidate and approved by the DRC and he/she shall have experience of working in the broad area of the subject or related area of the candidate.* *Also, in case the colleges which does not have adequate experts from the department, they can appoint the experts from another allied college of Siddharth University or the department in the University. However, the experts shall not be eligible for the sitting fee or TA/DA.* *The quorum of the committee shall be the presence at least three members present.* *SRC shall be held in the department/college.*
	13.2		**The Functions of Research Degree Committee of University (RDCU):**
		13.2.1	The RDCU shall be the highest body of research in the Siddharth University. It shall review the cases as recommended by the various DRCs and shall accord final approval for the award of degree, review transfer cases and interpret the clauses of Ph.D. Regulations of the ordinance. It shall advise to the Vice Chancellor the matters herein referred to.
		13.2.2	The committee shall ensure uniform implementation of the guidelines, advice on all matters related to research, and make an arrangement for the registration of research student and evaluation of his/her research work.
	13.3		**The Functions of Department/Centre Research Committee (DRC):**
		13.3.1	Each department shall have the DRC as described above.

		13.3.2	The DRC shall be responsible for the process in admission as per the University norms, allocation of supervisors/ co-supervisors, recognition and approval of supervisors and any matter related to the administration of Ph.D. in the department/discipline, for the research student registered in Ph.D. program.
		13.3.3	The six-monthly progress reports of each research student will be submitted by the SRC to the DRC with a copy to the research student for periodic review and assistance.
		13.3.4	The six-monthly progress reports of each research student will be submitted by the DRC, with its recommendations to the Ph.D. Section (academics) through concerned Dean of faculty with a copy to the research student.
	13.4		**The Functions of Student Research Committee (SRC):**
		13.4.1	Each research student shall have their respective student research committee (SRC), which shall be notified within six months of their admission.
		13.4.2	The SRC shall be responsible for overall guidance, administration, progress evaluation of the research student.
		13.4.3	The committee shall conduct a minimum of one review meeting before submission of each six-monthly progress report to evaluate the progress of research work and presentation of the concerned research student for further guidance.
		13.4.4	All the matters of a research student registered in Ph.D. program of SUK must be processed through their SRC.
14.			**Submission, Evaluation and Assessment Methods, minimum standards/credits for award of the degree etc.-**
	14.1		**Pre-Submission** Prior to submission of thesis, the research student shall make a Pre-Ph.D. presentation/seminar in the concerned department before the concerned DRC that will be open to all faculty members and research students, for getting feedback and comments, which may be suitably incorporated into the draft thesis under the advice of the supervisor and also in consultation with DRC. On completion of the research work the Ph.D. candidate shall submit

			an application to the Chairperson of the concerned DRC, through his supervisor and co-supervisor along with the recommendation of SRC, with two copies of the summary of the research work conducted, proof of publication and conferences certificate and mark sheet of course work completion for the conducting the Pre-Ph.D. presentation/seminar. In case of colleges, the application of student must be submitted through the Principal of the college. The research student shall publish at least two research papers in SCI/SSCI/ESCI/SCI-expanded/ADBC/ABS/Scopus/WoS/UGC-CARE list/Philosopher's index (in case of publications in any of refereed journals having ISSN other than the above-mentioned lists, the approval of the concerned DRC shall be mandatory with justification) out of the research thesis/ research area. The research papers must be published by the research student as a first or corresponding author. Also, the research student shall make two paper presentations in national/international conferences/seminars related with the topic before the submission of the thesis for evaluation. The research student shall produce the evidence for publications, and conferences/seminars presentation in the form of acceptance letter/the reprint or certificate respectively. The process of conducting the Pre-Submission shall be as follows:
		14.1.1	The supervisor of the Ph.D. candidate shall organize a pre-submission seminar, in consultation with the chairperson DRC. The DRC shall assess the work of the candidate through this seminar. If the DRC is not satisfied either with the presentation or with the quality of the work of the candidate, then the candidate shall do further work and deliver a fresh seminar after a definite period specified by the DRC.
		14.1.2	The final title of the thesis shall be as approved by the DRC after the pre-submission seminar.
		14.1.3	If the DRC is satisfied with the pre-submission seminar of the candidate, the chairperson of the concerned DRC shall forward the application of the candidate for submission of the thesis along with a certificate about the satisfactory completion of the pre-submission seminar and the exact title of the thesis to the Ph.D. Section (academics) through the concerned Dean of the faculty. The Ph.D. Section (academics) shall issue a notification for the successful completion of the Pre-Ph.D. seminar along with the final title of the thesis.
	14.2		**Submission of the Thesis**
		14.2.1	The candidate is required to submit the thesis within six months from the date of his/her pre-submission seminar failing which he/she shall be required to deliver a fresh pre-submission seminar.

		14.2.2	The candidate shall submit the thesis to the Head of the department/coordinator of the /center/ principal of the constituent/affiliated college through their supervisor (in case supervisor is not available physically, the co-supervisor shall facilitate the submission process). While submitting the thesis, the candidate shall submit the following: i. Four hard copies along with four Abstracts in soft-bound and one soft copy (in a CD) of the thesis. ii. A declaration by the candidate as per the format specified by the University. iii. Course work completion certificate as provided by the University. iv. Pre-submission seminar completion certificate by the as provided by the University. v. A copyright transfer certificate as per the format specified by the University. vi. Undertaking that there is no plagiarism by the student, duly certified by the supervisor and co-supervisor (s). vii. Certificate from the supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other institution.
		14.2.3	The researcher will also submit one copy of the thesis to the supervisor and co-supervisor (s) each.
		14.2.4	No part of the thesis shall be submitted for the award of any other degree or diploma of any University. In case of the non-availability of supervisor/ co-supervisor, during the process of submission due to death, superannuation, resignation, the head of the department/ principal of the constituent/affiliated college shall act as an administrative supervisor.
	14.3		**Check of Plagiarism**
		14.3.1	While submitting the thesis for evaluation, along with the dissertation thesis the candidate shall submit plagiarism report generated by the software as prescribed by the University and dully attested by the research student and research supervisor asserting to the originality of the work, vouching that the plagiarism is less than or equal to 10%. The similarity level excluding <1%, and the exclusion of the papers published by research student out of the thesis shall be accepted.
		14.3.2	The concerned section shall get the softcopy of the thesis for plagiarism test to confirm that the plagiarism level is as per the above rules and do the further processing.

	14.4		**The colour of cover page of thesis belonging to different faculty will be-** 1. Arts Faculty - Maroon 2. Science Faculty - Blue 3. Commerce Faculty - Yellow 4. Education faculty - Pink 5. Law Faculty - Black 6. Medicine Faculty - White 7. Agriculture Faculty - Green 8. Management - Scarlet Red (The exact shades of the colour shall be provided by the Ph.D. section).
	14.5		**Evaluation of Ph.D. Thesis and Related Standards**
		14.5.1	The Ph.D. thesis submitted by the Ph.D. student shall be evaluated by his/her research supervisor (Internal Examiner) and two external examiners, who are expert in the field and not in the employment of SUK or its constituent/ affiliated colleges.
		14.5.2	Generally, on an application, by the research students that they shall probably submit the thesis within six months, the concerned supervisor will submit a panel of examiners to the concerned head of department in the university/principal of college to submit before concerned DRC on the successful completion of pre-submission process. The research supervisor will provide names of six (6) external examiners from university/colleges not below the rank of Professor/Associate Professor. The each of the examiner should be expert in the subject/area of the thesis. Among these two (2) external examiners, one (1) will be from out of state. As far as possible it must be ensured that the proposed examiner (Foreign/Indian), for evaluation of Ph.D. thesis, should belong to the universities/institutions of higher repute and ranking. It must be ensured that all the examiners are from different universities/institutions, and preferably are selected from different regions of the country (Eastern, Western, Central, Northern, North-Eastern, and Southern Regions). No two (2) examiners should be from the same institution/university. Also, the co-author(s)/collaborator(s) with the research student cannot be the examiners. The list of the examiners should be provided in the format as prescribed by the University.
		14.5.3	The chairperson, DRC through the Dean of faculty shall forward the supervisor's proposal of panel of examiners duly recommended by DRC in the prescribed format to the Ph.D. section of the academics in a

			sealed envelope. The panel of examiners shall be presented before the Vice Chancellor. The Vice Chancellor will then appoint two examiners out of the panel proposed. The Vice Chancellor shall provide the preferential list of examiners out of the panel of examiners in an order of one to six, which shall be treated as an order of preference for appointing the examiners by the examination controller.
		14.5.4	The Ph.D. section (academics) shall forward the approval of the Vice Chancellor, along with the Ph.D. thesis in soft and hard copy and with relevant documents to the examination controller for the evaluation process of the thesis. The Ph.D. section under examination controller shall contact the examiners with a copy of summary of thesis through e-mail and obtain the consent of the examiner within fifteen days of receiving the approval. In case of non-consent from the examiner after two consecutive reminders separated by seven working days or written refusal, the examination controller shall contact subsequent examiner as approved in the preferential list by the Vice Chancellor.
		14.5.5	The thesis must be dispatched to the concerned examiner both in soft and hard copy within seven working days of receiving of the consent of the examiner. In case of foreign examiner, only soft copy of the thesis shall be sent.
		14.5.6	However, if an examiner cannot evaluate the thesis or conduct the viva-voce of the research student in any circumstances another examiner will be appointed from the same panel for evaluation or conducting viva-voce. (In case of non-availability of same panel of examiner for the viva-voce due to the circumstances beyond control, the Vice Chancellor can appoint any other expert academician from the same field for conducting the viva-voce on the recommendation of the supervisor).
		14.5.7	Examiners of the thesis will give their report separately in a format as prescribed by the University, within two months from the date of receipt of the thesis. Examiners should be satisfying in their report that the thesis: i. Is a piece of research work characterized either by the discovery of facts or theories. In either case it should reflect the candidate's capacity for critical examination and sound judgment. The examiner shall communicate, how far the thesis embodies the result of candidate's own observations and in what respects his investigations may advance knowledge in the subject. It shall be satisfactory so far as its literary presentation is concerned and

			must be in a form suitable for publication. ii. The examiner may suggest certain suggestion which is suitable in his/her views in increasing the quality of thesis. Such suggestion will be provided to research students at the time of viva-voce, which can be incorporated during publication of thesis. iii. The examiner may also recommend the resubmission of the thesis for re-evaluation if the thesis is not satisfactory or requires improvement in the quality of thesis. However, specific comments, remarks, and suggestions should be made while recommending any resubmission.
		14.5.8	After evaluating the thesis, the examiners will present their report on specified format as prescribed by the University that thesis may be accepted or rejected or candidate is allowed for modifying the thesis and resubmitting again. If all the examiners send satisfactory reports separately then the examination controller shall provide the list of examiners who have evaluated the thesis, along with evaluation report to the supervisor for conducting the viva- voce. In the cases where the examiners evaluation report is not unanimous, its shall be administered as below: a) If the evaluation report of both the external examiners are unsatisfactory and does not recommend viva-voce, and recommend the rejection of thesis, the thesis may be rejected. b) If the evaluation report of either of the external examiners is unsatisfactory and does not recommend viva-voce, and recommends the rejection of thesis, another external examiner out of the approved panel of the examiners in the preferential order, shall be appointed. If the report of the latest examiner is also unsatisfactory; and does not recommend viva-voce; and recommends the rejection of thesis, the case shall be referred to the RDCU. The RDCU shall examine the case and recommend either the rejection of the thesis or sending it to another remaining fourth examiner from the panel of examiners. The fourth external examiner so appointed shall not be informed of the recommendations of other three external examiners. The recommendation of the fourth examiner shall be final. c) If the evaluation report of the fourth examiner is also unsatisfactory and does not recommend viva-voce, and recommends the rejection of thesis, the thesis shall be rejected and the research student will be declared ineligible for the award of Ph.D. Degree.

		14.5.9	The oral defence/viva-voce examination of the research student shall be conducted by the supervisor based on the availability of either of the examiner in the University. The supervisor shall inform the date and consent of the examiner to the Ph.D. section (examination) who shall notify the conduct of viva-voce by the viva voce committee. The notification shall be issued within three working days and at least a gap of seven days of conduct of viva-voce.
		14.5.10	The viva-voce shall be conducted by the committee comprising of Head of the department of SUK/Dean of the Faculty for affiliated/constituent colleges; external examiner (within India); and the concerned supervisor, and co-supervisor (if any), and nominee member of DRC. The concerned supervisor shall be the convenor of the committee. The viva-voce exanimation shall be conducted based among other things, on the critiques given in the evaluation report, and shall be open to be attended by members of the DRC, all faculty members of the department, other research students, and the other interest experts/researchers.
		14.5.11	The supervisor and co-supervisor (if any) shall schedule the viva-voce of the candidate as early as possible and normally within a month from the date the communication to them from the examination controller for conducting viva-voce.
		14.5.12	In the case of unavailability of supervisor or co-supervisor to conduct the viva-voce due to any reason, the Head of the department/Dean of Faculty shall arrange to conduct the viva-voce. In such cases, the Ph.D. work shall be deemed to have been carried out under the guidance of the original supervisor/co-supervisor only.
		14.5.13	The supervisor shall submit the final report of the evaluation of viva-voce to the examination controller for the further processes. Reports of thesis and viva-voce shall be presented before RDCU for essential action.
		14.5.14	On publication of thesis candidate has to mention on front page that this thesis has been accepted by Siddharth University Kapilvastu, Siddharth Nagar for Ph.D. Degree on respective subject.
		14.5.15	The University shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six (6) months from the date of complete submission of the thesis. Also, it may be ensured the viva-voce of the candidate is conducted within three months of the receiving of the satisfactory evaluation reports from all the examiners.
		14.5.16	The candidate, who is required to re-submit the thesis, must do so within a maximum period of nine (9) months (for major revision) and within a maximum period of six (6) months (for minor revision) from the date of supply of comments of the examiners to the candidate by the University. Any extension beyond the mentioned period shall be provided by the competent authority based upon the application with justified reason and appropriate evidence.

		14.5.17	A re-submitted thesis may only be examined by the original Board of examiners unless they or any of them are unable or unwilling to act and may only be re-submitted once.
15.			**Award of Ph.D. Degree**
	15.1		On completion of all the stages of the examination, the viva-voce committee shall recommend to the RDCU through examination controller one of the following courses of action:
		15.1.1	That the Degree be awarded.
		15.1.2	The candidate be re-examined in a second viva-voce (the second viva-voce should be conducted normally after a period of three (3) months but within six (6) months from the date of first viva-voce).
		15.1.3	That the Degree be not awarded and thesis be rejected.
	15.2		If the viva-voce committee recommends the Degree be awarded, the candidate shall submit four hardbound copies of the thesis incorporating corrections, if any, (along with two corresponding soft copy versions of the thesis in CDs/Pen Drive). The copies received shall be thus kept, one in central library of the University; and the other one in the Departmental library of the University/affiliated college. One copy shall be provided to the supervisor and co-supervisor(s) if any.
	15.3		The reports of all the examiners and the viva-voce examination shall be placed before the RDCU for consideration. In the case of unanimous recommendations, the RDCU shall approve the award of the Ph.D. degree provided the candidate produces "No Dues Certificate" in a prescribed form. In all other cases the concerned RDCU shall take its specific decision based on the reports of the examiners as well as the viva-voce examination.
	15.4		After the approval of thesis by the RDCU for the award of the degree, the provisional degree shall be issued to the candidate by the examination controller for which the candidate shall apply in a prescribed form by paying prescribed fee.
	15.5		Date of award of the Ph.D. Degree will be the date of viva-voce conducted and declared successfully qualified by viva-voce committee.
	15.6		The Ph.D. degree certificate shall mention the title of the thesis, the discipline/subject of Ph.D. of his/her research and the department/school/centre in which the candidate was admitted for the Ph.D. programme.
	15.7		After the approval of the thesis for the award of the degree, the abstract shall be published in the "Abstracts of Accepted Thesis for the Ph. D. /Vidyāvāridhi Degree" of the Siddharth University, Kapilvastu.
	15.8		Once a thesis has been approved for the award of the Ph.D. degree, the candidate shall, in case of publication of the thesis in full or in part, state on the title page that it was a thesis approved for the award of the Ph.D./Vidyāvāridhi degree of the Siddharth University, Kapilvastu or based upon that thesis.

	15.9		There shall be a separate register maintained by the academic and examination section in the University in which the information regarding the Ph.D. awarded in different departments/colleges, shall be mentioned. The record must include the following: name of the candidate, topic of research, name of the supervisor (s), date of registration, date of submission and date of award of Ph.D. This information should also be uploaded on the University website.
	15.10		In case the thesis is rejected, the Vice Chancellor shall have the power to constitute an independent three-member review panel to investigate the cause of rejection of thesis. The review panel shall examine the research work and the examiners' report thereon to submit their findings to the Vice Chancellor for future improvements. It may also examine the matter to suggest as to whether the research student can further work on the same topic to rebuild his/her initial work and the correct course of action for achieving that and time frame needed for resubmission of his/her thesis without exceeding the total time period prescribed for submission of thesis in this ordinance.
16.			**Categories of the Ph.D. Programme and Admission Process**
	16.1		A Candidate admitted to the Ph.D. programme in a department shall be classified under any one of the following categories: a. Full Time Research Scholar b. Part Time Research Scholar (Enrolment of only one part time candidate shall be allowed under a Faculty Member in an Academic Year) c. International Research Scholar. No research scholar shall be permitted to take admission to any other degree/courses (except diploma/certificate courses) during entire duration of research.
	16.2		**Full Time Research Scholar:**
			Full-time research scholar shall not accept, any paid assignmentother than research fellowship, research assistantship etc. during the period of research. The full-time research scholars shall be regular students of the University irrespective of whether the research supervisor is from the University or from an affiliated college. However, regular teachers of Siddharth University Kapilvastu, Siddharth Nagar or from affiliated colleges (aided or self-financed) of Siddharth University Kapilvastu, Siddharth Nagar will be allowed to receive salary payment from their parent institutions. They will be required to be present for the entire period of course work. Forthe remaining period of the programme their attendance, either at their respective parent institution or at their respective department of the University will be accepted.
	16.4		**International Research Scholar:** Each supervisor can guide up to two international/foreign/NRI sponsored research students on a supernumerary basis over and above the permitted number of Ph.D. students as specified in clause 7.6 above. The international/foreign/NRI sponsored research students can apply

			online/offline throughout the year. Their application shall be processed and admission process shall be conducted as per clauses 5.7, 5.8, and 5.9. The interview of such candidates can be conducted through offline/online mode. The university shall decide its own selection procedure for Ph.D. admission of international students keeping in view the guidelines/norms in this regard issued by statutory/regulatory bodies concerned from time to time.
17.			**Grant of Leave**
	17.1		**During Course Work:** A research student registered in the Ph.D. program on full-time basis, during his/her stay at the University will be entitled to leave for 30 days, including leave on medical grounds, per academic year. He/she will not be entitled to mid-semester breaks, summer and winter vacations. Leave beyond 30 days in an academic year may be granted to a Ph.D. student in exceptional cases subject to the following conditions: i. The leave beyond 30 days will be without Assistantship/ scholarship and ii. Such an extension of up to additional 30 days will be granted only once during the programme of the student. iii. The leave may be granted by the Dean of the Faculty subject to the recommendation of the Head of the Department on the recommendation of the Supervisor; and a proper leave account of each student shall be maintained by the Department/ Programme Coordinator concerned.
	17.2		**After Completing the Course Work:** A research student registered on full-time basis, during his/her stay at the University will be entitled to leave for 30 days per academic year. i. They shall not be entitled to mid-semester breaks, summer and winter vacations. In addition, a Ph.D. student who has completed his/her course work may be granted leave on medical grounds up to 20 half days per academic year. ii. The female Ph.D. Student will be eligible for Maternity Leave with Assistantship for a period not exceeding 240 days once during the tenure of their award. iii. A Ph.D. student irrespective of the source of research assistantship while pursuing course work, must attend at least 75% of classes in each course in which he/she is registered. In case his/her attendance falls below 75% in any course during a month, he/she will not be paid Assistantship for that month. Further, if his/her attendance again falls short of 75% in any subsequent month in that semester, his/her scholarship and assistantship will be terminated. A Ph.D. student after having completed the course work must attend to his /her research work on all the working days and mark attendance except when he/she is on duly sanctioned leave. The requirement of 75% attendance will apply as above, on daily attendance except in the cases where

			longer leave has been duly sanctioned within the leave entitlement of the student. For the above purpose, if 75% works out to be a number which is not a whole number, the immediate lower whole number will be treated as the required 75% attendance.
18.			**Admission Cancellation**
			The registration and admission of a candidate may be cancelled by DRC in any one of the eventualities:
	18.1		If the full-time research student is absent for a continuous period of four weeks without prior information/ sanction of leave.
	18.2		If the student fails to renew his/her semester registration and does not pay the fee in due time.
	18.3		If three consecutive six monthly progress reports of the students are unsatisfactory.
	18.4		If the candidate fails to submit the thesis within the maximum stipulated time as provided in this ordinance.
	18.5		For any errant behavior such as indiscipline, anti-university or anti-national activity, moral turpitude or any behavior which is unlike that of the student.
	18.6		If the candidate resigns from the Ph.D. programme and the resignation is recommended by the supervisor. Provided further the action of cancellation of registration of a candidate (in the eventualities listed above) may not be taken by the DRC/CRC without serving a show cause notice on the erring research student and without giving him/her an opportunity to reply.
19.			**Depository with UGC**
	19.1		Following the successful completion of the evaluation process and conferment of the award of Ph.D. degree, the University shall submit a soft copy of the thesis to the University Grant Commission within a period of 30 days for hosting the same on INFLIBNET, so that it is accessible to all Institution/ Universities.
	19.2		The University shall issue a certificate to the effect that the degree has been awarded in accordance with the provisions of University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022 of 7th November 2022.
20.			**Appeal**
			An appeal against cancellation/withdrawal of registration/studentship shall lie to the Vice Chancellor which may be preferred within 60 days of such cancellation/withdrawal and the decision of the Vice Chancellor shall be binding and final.
21.			**Saving**
			In the event of any ambiguity in the interpretation of the provisions contained in the ordinance, the decision/interpretation made by the Vice Chancellor for clarification of such ambiguity shall be final & binding.

ANNEXURE – I

<table>
<tr><td colspan="5">Interview Award List</td></tr>
<tr><td colspan="5">Maximum Marks = 30 Marks</td></tr>
<tr><td></td><td></td><td></td><td></td><td>Total Marks in Academic Record</td></tr>
<tr><td rowspan="3">(Maximum 10 Marks) (Part A)</td><td>Non-JRF/NET</td><td>00 Marks</td><td></td><td rowspan="3"></td></tr>
<tr><td>JRF*</td><td>10 Marks</td><td></td></tr>
<tr><td>Only NET</td><td>05 Marks</td><td></td></tr>
<tr><td></td><td colspan="4"></td></tr>
<tr><td rowspan="6">Interview Presentation Performance (Maximum 20Marks) (Part B)</td><td></td><td></td><td>Marks awarded to Candidate</td><td>Total Marks in Presentation performance</td></tr>
<tr><td>Research Plan (Maximum 04 marks)</td><td colspan="2"></td><td rowspan="5"></td></tr>
<tr><td>Literature Review (Maximum 04 marks)</td><td colspan="2"></td></tr>
<tr><td>Presentation (Maximum 04 marks)</td><td colspan="2"></td></tr>
<tr><td>Communication (Maximum 04 marks)</td><td colspan="2"></td></tr>
<tr><td>Question/Answering (Maximum 04 marks)</td><td colspan="2"></td></tr>
<tr><td></td><td></td><td colspan="2"></td><td>Total Marks in interview performance</td></tr>
<tr><td rowspan="2">Total marks in Interview (Maximum 30 Marks)</td><td>Marks in Part A=……</td><td colspan="2" rowspan="2"></td><td rowspan="2"></td></tr>
<tr><td>Marks in Part B=……</td></tr>
</table>

* JRF (UGC/CSIR/ICMR/DBT and similar National level tests for scholarships/ fellowships)

Signature of DRC Member

ANNEXURE – II

SIDDHARTH UNIVERSITY KAPILVASTU

Guidelines, Print Requirements and Formats for Ph.D. Thesis Preparation

4 copies of the thesis in hard cover binding and one soft copy must be submitted in the Department.

1. Cover page (color: As per the Ph.D. ordinance, hard cover binding with golden print) – Annexure - III
2. The format for the spine/back bone (written as **Ph.D. Thesis** (left) **Name of the Ph.D. Student** (Center) **Year** (Right)
3. Inner cover page (same as cover page, printed on white A4 sheet)
4. Undertaking from the Ph.D. Student - Annexure - IV
5. Declaration Certificate - Annexure -V
6. Certificate from the Guide (in Department's letter head) – Annexure - VI
7. Certificate from the Co-Guide (in her/his Department's letter head) (if applicable)– Annexure - VII
8. Course work examination completion certificate - Annexure - VIII
9. Pre-submission seminar completion certificate - Annexure - IX
10. Copyright transfer certificate - Annexure - X
11. Acknowledgement
12. Table of Contents -
13. List of Abbreviations, Symbols, Figures and Tables (if applicable)
14. Abstract of the thesis
15. Text (usually divided into chapters and sections)
16. References/ Bibliography
17. List of paper published/ accepted/ presented

(i) **In Journals** - Minimum two research papers out of his / her Ph.D. work in refereed Journals indexed in reputed database such as SCI/SSCI/ESCI/SCI expanded /ADBC /ABS / Scopus/WoS/UGC-CARE list/Philosopher's index (in case of publications in any of refereed journals having ISSN other than the above-mentioned lists, the approval of the concerned DRC shall be mandatory) as First Author and Guide as corresponding author/co-author as per SUK Ph.D. Regulations.

(ii) **In Conferences** - Two research paper presentations in conferences/seminars related to Ph.D. research work.

18. Copies of acceptance letter in case the paper is accepted.

19. Copies of first page of manuscripts/reprints of the papers published/ accepted.

20. A personal profile not exceeding one page with photograph of the candidate.

21. No dues certificate from the department/college, library, accounts, hostel (if applicable).

PRINT REQUIREMENTS:

- Text must be set in 12-point Times New Roman/16-point Kruti dev 010
- Two sides (other than preliminary pages) printed
- Font Size (Chapter Separator): Times New Roman 22/ Kruti dev 010 26

PAPER REQUIREMENTS: The original report to be printed on regular white A4 sheet (80gsm - 100gsm).

MARGINS

- The text of the document must be justified.
- The left and right margin will be set at 1.25". The top and bottom margin will be set at 1".
- A subheading at the bottom of a page will be followed by at least two full lines of type. If space does not permit two lines plus a 1" margin, the subheading will begin on the next page. Similarly, a new paragraph toward the bottom of a page will run for at least two lines or be started on the next page. The final few words of a paragraph will not be continued on the next page. At least two full lines of type are required to continue a paragraph on the next page.

PAGINATION

- Each page must be numbered, with the exception of the Title Page, which counts as page i but does not show a number.

- The preliminary pages—including the undertaking from the student, self-declaration from the student, certificates from guide and Co-guides, Copyright transfer certificate, Table of Contents,

  List of Tables, List of Figures, Abstract, etc. —will be numbered with lower-case Roman numerals (ii, iii, iv, etc.) centred 0.83" from the bottom edge of the page. The first page that will show a page number is page ii.
- All remaining pages—including text, illustrations, appendices, and references—carry consecutive numerals (1, 2, 3, etc.). The page number will be - Bottom Centre.

SPACING

- The text of the document will follow line spacing of 1.5".
- Exceptions are made for the following material, which will be single-spaced:
  - Table and figure captions
  - Tabular material as necessary
  - Appendix material as appropriate

CENTRING

Centred material is to be centred between the left and right margins.

INDENTATION

The first line of all paragraphs of running text will be indented 0.5".

TABLES AND FIGURES

Definitions

- The word "Table" is used for tabular data in the body of the thesis and in the appendices.

- The word "Figure" designates all other illustrative material used in the body and in the appendices, including, for example, graphs, charts, drawings, images, and diagrams.

Preparation

- All figures and tables, including numbers and captions, will fit within a 6" by 9" area in order to comply with margin regulations.

- Where material for figures and tables is too large to fit within margin requirements, it may be reduced either by xerography or by means available to the word processing programs (reduction of point size in fonts). Care must be taken that the final reduction is clear and legible.

- Page numbers, table titles, and figure captions must be the same size as the rest of the text (not reduced).

Placement

- Tables and figures that must be positioned horizontally (landscaped) will face the outer edge of the page, with the widest margin at the binding edge.

- Tables and figures less than one half-page in length will be included on the same page with the text whenever possible, separated from the text above or below by double spacing. If they exceed a half-page in length, they will be placed on a separate page. Two or more small tables or figures may be placed on a single page.

- The placement of the table or figure does not affect the position of the page number.

Numbering

- Tables and figures appearing in the body of the report must be referred to in the text, and will follow as closely as possible the first reference to them.

- Table numbers and titles will be consistent with APA/IEEE/CHICAGO/MLA/ILI/Vancouver format.

- Figure numbers and captions will be consistent with APA/IEEE/CHICAGO/MLA/ILI /Vancouver format.

- Tables and figures are numbered in separate series. Each table and figure, including any in the appendices, has a number in its own series. Each series is numbered consecutively in Arabic numerals within chapters (e.g., Figure 10.1, Figure 10.2, and Figure 10.3).

- Each table and figure will be separately numbered. Figures will be complete on one page.

- If a table continues to the following page, the top line should read "Table 10.1 (continued)." The title is not repeated. Column headings should be repeated.

Titles and Captions

- Tables will be identified by the word "Table" and be numbered consecutively using Arabic numerals. Double space after the table number and type the table title in italics. Capitalize all major words of the table title, including prepositions of four or more letters (e.g., use "With" and "Between" and "of" and "to"). See the APA/IEEE/CHICAGO/MLA/ILI/Vancouver manual for sample table titles.

- Figures will be identified by the word "Figure" and be numbered consecutively using Arabic numerals. The word "Figure" and its corresponding number are typed in italics. Captions for figures are continued on the same line as the figure number. The captions are not italicized. Figure captions are placed *below* the figure and must follow APA/ IEEE/ CHICAGO/ MLA/ILI/ Vancouver style for capitalization: capitalize *only* the first word of the caption, any proper noun or adjective, and the first word after a colon.

- These titles/captions will appear in the preliminary pages in the List of Tables or List of Figures

Citations

- When referring to a table or figure in the text, the full word and number will be used (e.g., Table 10 or Figure 6). The table or figure reference must *precede* the table or figure itself.

Annexure–III

TITLE <Capital letters, font Arial bold 18>

Thesis Submitted for the Award of the Degree of <font Arial, 14>

DOCTOR OF PHILOSOPHY<capital letters, font Arial 16>

(Name of DEPARTMENT) <font Arial 16>

By <font Arial, 14>

<NAME OF THE PhD STUDENT> <capital letters, font Arial bold 16>

Under the Supervision of <font Arial 14>

<NAME OF THE GUIDE> < capital letters, font Arial 16>

<NAME OF THE CO-GUIDE(s)> < capital letters, font Arial 16>



<NAME OF THE FACULTY> <capital letters, font Arial 14>

SIDDHARTH UNIVERSITY KAPILVASTU<capital letters, font Arial 14>

INDIA<capital letters, font Arial 14>

20___ ___<font Arial 14>

Note: For Urdu, Sanskrit and Hindi may kindly refer to the respective Head of departments

Annexure–IV

UNDERTAKING FROM THE PhD STUDENT

I hereby declare that I, …….. registration number (XXX) have completed the PhD thesis work on the title "…….

……."
under the supervision of ……and ……. for the degree of Doctor of Philosophy, Siddharth University, Kapilvastu, Siddharth Nagar, Uttar Pradesh.

This is my original work & I have not submitted it in full or part earlier elsewhere for the award of any degree or diploma.

Date: …….. <Sign of the Student>

Place: ……. <Name of the Student>

Registration No…….

Annexure–V

SELF DECLARATION CERTIFICATE

I, <*Name of Student* > S/o / D/o <*Name of Father*>, and <*Name of Mother*> certify that the work embodied in this Ph.D. thesis is my own bonafied work carried out by me under the supervision of <*Name of Guide*> and <*Name of Co-Guide*> for a period of <*Month, Year*> to <*Month, Year*> at Siddharth University, Kapilvastu, Siddharth Nagar, Uttar Pradesh.

The work embodied in this Ph.D. thesis has not been submitted for the award of any other degree/ diploma. Due acknowledgement has been made in the text wherever warranted.

I, hereby declare that I have faithfully acknowledged, given credit to and refereed to the research workers wherever their works have been cited in the text and the body of thesis. I further certify that I have not willfully lifted up some other's work, para, text, data, results, etc. reported in the journals, books, magazines, reports, dissertations, thesis, etc. or available at websites and included them in this Ph.D. thesis and cited as my own work.

Date: ……. <Sign of the Student>

Place: …… <Name of the Student>

<Registration No……..>

Annexure–VI

CERTIFICATE FROM THE SUPERVISOR

This is to certify that research work embodied in this thesis entitled "_____" submitted to Siddharth University, Kapilvastu, Siddharth Nagar, Uttar Pradesh, for the award of the degree of **Doctor of Philosophy (<Name of Area>)** has been carried out by <Name of *Student* > under my supervision at <Name of Institution> <Location>, SUK from _____ to _____.

To the best of my knowledge and belief, this work is original and has not been submitted so far in part or in full for the award of any degree or diploma of any University/ Institute.

<Date:……>

<Signature of Supervisor>

<Name of Supervisor>

<Designation, Name of Department/ Institution>

Siddharth University, Kapilvastu

Annexure–VII

CERTIFICATE FROM THE CO- SUPERVISOR

This is to certify that research work embodied in this thesis entitled "_____" submitted to Siddharth University, Kapilvastu, Siddharth Nagar, Uttar Pradesh, for the award of the degree of Doctor of Philosophy (<Name of AREA>) has been carried out by Ms./Mr. _____under my co-supervision at <Name of Institution> SUK, from _____ to _____.

To the best of my knowledge and belief, this work is original and has not been submitted so far in part or in full for the award of any degree or diploma of any University/Institute.

<Date: ……>

<Signature of Co-Supervisor>

<Name of Co-Supervisor>

<Designation, Department /Name of Institution >

Annexure–VIII

CERTIFICATE FROM THE DIRECTOR (RESEARCH AND DEVELOPMENT CELL) FOR SUCCESSFUL COMPLETION OF Ph.D. COURSEWORK

TO WHOM IT MAY CONCERN

This is to certify that Mr/Ms…..has successfully completed the Ph.D. coursework in (Department) .....(date of declaration of result) as required under the Ordinance.

Date:(Signature of the Head of the

(Director, Research and development Cell)

Place: Siddharthnagar

Annexure–IX

COURSE WORK COMPLETION CERTIFICATE

This is to certify that Mr./Ms……..... Registration No……., a bonafide PhD Student of *Siddharth University,* Kapilvastu has successfully completed the course work with a CGPA of …….. which is part of his/her Ph.D. programme.

<Signature of the Head of Department/ Principal>

<Name of the Head of Department/ Principal >

<Name of the Institution >, SUK

Date: .....

Annexure–X

PRE-SUBMISSION SEMINAR COMPLETION CERTIFICATE

This is to certify that Mr./Ms……., Registration No……., Ph.D. Student of *Siddharth University,* Kapilvastu has successfully completed the pre-submission seminar (held on ……) requirement, which is part of his/her Ph.D. programme on his/her thesis entitled, "……".

<Signature of the Head of Department/ Principal>

<Name of the Head of Department/ Principal >

<Name of the Institution >, SUK

Date: .....

Annexure–XI

COPYRIGHT TRANSFER CERTIFICATE

Title of the Thesis: …….

Ph.D. Student Name: ……

Registration No……

COPYRIGHT TRANSFER

The undersigned hereby assign to the Siddharth University Kapilvastu, Siddharth Nagar, Uttar Pradesh all rights under copyright that may exist in and for the above thesis submitted for the award of the Ph.D. degree.

<Signature of the Student>

<Name of the Student>

Date…….

Note: However, the author may reproduce or authorize others to reproduce material extracted verbatim from the thesis or derivative of the thesis for author's personal use provide that the source and the University's copyright notice are indicated.

Annexure–XII

CONFIDENTIAL

EXAMINER'S RECOMMENDATION AND REPORT

With respect to the thesis entitled …….. Submitted by……in…….(Subject) Siddharth University Kapilvastu, Siddharth Nagar, The following comments are made:

i) The thesis is accepted for the award of PhD degree.

ii) The thesis is accepted for the award of PhD degree subject to the candidate giving satisfactory answers, atthe time of viva voce, to the specific queries raised in the report.

iii) The thesis, in the present form, cannot be accepted for the award of the Degree, and the candidate is advised to revise the thesis on certain issues raised in the report and resubmit the thesis. In the case of a resubmission, the examiner shall specifically mention whether the thesis must be referred back to him/her forre- evaluation or not.

iv) The thesis is rejected.

Place. Signature of Examiner

Date.

Note: Please strike out which is not applicable. If necessary, please use separate sheet. For clear understanding, a typed report must be sent.

DETAILED REPORT

(Signature)

Annexure–XIII

PROVISIONAL CERTIFICATE

for award of Ph.D. Degree

TO WHOM IT MAY CONCERN

This is to certify that Mr/Ms…..Son/daughter of ……. Enrolment No ..... was awarded Ph.D. degree in the subject of.....by this University in the year as per the University rules/ records, his/ her Ph.D. degree fulfills criteria recommended by Ph.D. Ordinance 2023-24.

.

REGISTRAR/CONTROLLER OF EXMANIATION

Annexure– XIV

Certificate for Compliance of Ph.D. Degree with Ph.D. Ordinance 2024

It is verified that Dr……who was a Ph.D. student in the & Department of……..Faculty of…… Siddharth University Kapilvastu, Siddharth Nagar has been awardedPh.D. degree and has complied with Ph.D. Degree with Ph.D. Ordinance 2024 as per the following criteria:

1. Candidate has completed Course work paper including Research Methodology paper
2. Ph.D. Degree of the candidate was awarded in Regular / Part-Time mode
3. Evaluation of Ph.D. thesis by at least two external examiners
4. Candidate has published one research paper from his/her Ph.D. work in a refereed journal.
5. Candidate has made at least two presentations in conferences/seminars, based on this / her Ph.D. Work.
6. Open Ph.D. viva voce of the candidate has been conducted.